Sheet

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Substitute for form 1449A/PTO | | | Complete if Known | | |
|---|----|---|------------------------|---------------------|--|
| | | | Application Number | 10/038,217 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | Filing Date | 1/2/2002 | |
| | | | First Named Inventor | Unger et al. | |
| | | | Art Unit | 2131 | |
| | | | Examiner Name | Moorthy, Aravind K. | |
| 1 | of | 1 | Attorney Docket Number | SNY-R4646.01 | |

| | | | U.S. PATENT | DOCUMENTS | | | |
|------------------------------|---|-----------------|-----------------------------|-------------------|--|--|--|
| Examiner Cite Initials* No.1 | Cite | Cite | Cite | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant |
| | 0.1 Number-Kind Code ^{2 (d known)} | Inness | Applicant of Cited Document | Figures Appear | | | |
| /AM/ | | US 4,914,515 | 04/03/1990 | Van Luyt | | | |
| /AM/ | | US 5,444,782 | 08/22/1995 | Adams Jr. et al | | | |
| /AM/ | | US 5,553,141 | 09/03/1996 | Lowry et al. | | | |
| /AM/ | | US 2003/0012286 | 01/16/2003 | Ishtiaq et al. | • | | |
| /AM/ | | US 2004/0049688 | 03/11/2004 | Candelore | | | |
| /AM/ | 3 | US 2004/0068659 | 04/08/2004 | Diehl | | | |
| /AM/ | | US 2005/0259813 | 11/24/2005 | Wasilewski et al. | X | | |
| /AM/ | | US 7,039,938 | 05/02/2006 | Candelore | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | / | | |
| | <u> </u> | | | | | | |
| | | | <u> </u> | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------|---|--------------------------------|--|---|---|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document Country Code ³ -Number*- Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T | |
| | | | | | | | |
| | 1 | | | | | L | |
| | | | | | | Γ | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------|---|---|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Т |
| | | | |

| | | | A STATE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN COLUMN | _ |
|-----------------------|-------------------|--------------------|--|---|
| Examiner Signature | /Aravind Moorthy/ | Date Considered | 04/15/2007 | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next conformance and not considered. Include copy of MPEP 901.04.

*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FEB 1 2 2007

Sheet

THE THE PER

(Use as many sheets as necessary)

Complete if Known Application Number 10/038,217 Filing Date 1/2/2002 First Named Inventor Unger et al. Art Unit 2131 Examiner Name Moorthy, Aravind K. **Attorney Docket Number** SNY-R4646.01

U.S. PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where **Publication Date Document Number** Examiner MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Initials* Number-Kind Code² (if known Figures Appear 10/05/1999 Warren et al. US 5,963,909 7AM/ 01/18/2000 US 6,016,348 Blatter et al US 2001/0036271 11/01/2001 Javed /AM 12/13/2001 /AM US 2001/00510007 Teshima US 2002/0003881 01/10/2002 Reitmeier et al US 2002/0116705 08/22/2002 Periman et al. 7AM/ US 2002/0126890 09/12/2002 Katayama et al 1/07/2002 Strasser et al. US 2002/0164022 03/27/2003 US 2003/0059047 02/05/2004 Driscoll, JR et al US 2004/0021764 US 6,714,650 03/20/2004 Maillard et al. 7AM/ US 2004/0100510 05/27/2004 Milic-Frayling et al. 7AM/ US 2004/0136532 07/15/2004 Pinder et al. US 2004/0240668 12/02/2004 Bonan et al. US 2004/0267602 12/30/2004 Gaydos et al. 03/24/2005 US 2005/0066357 Ryal 05/17/2005 US 6,895,128 Bohnenkamp Tatebayashi et a US 6,917,684 07/12/2005 7AM/ US 6,938,162 08/30/2005 Nagai et al. 7am/ US 2005/026547 12/01/2005 Strasser et al. /am/ US 7,065,213 06/20/2006 Pinder US 2006/0136976 /AM/ 06/22/2006 Coupe et al. US 7,127,619 10/24/2006 Unger et al.

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------|--|-----------------------------|--|---|---|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document Country Code ³ -Number ⁴ - Kind Code ³ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | 1 | |
| | | | | | | I | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|------|---|---|
| Examiner Initials* | Cite | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | т |

| Examiner Signature | /Aravind Moorthy/ | Date Considered | 04/15/2007 |
|-----------------------|-------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of US PTO Patent Documents at www.upsto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to

place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and subtrig the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.